



U.S. Securities and Exchange Commission

CERTIFICATE OF REGISTRATION

License No.: SEC-FT-2020-987654

Date of Issue: August 18, 2020

Expiration Date: October 22, 2030

Registrant

FXCC Automated Trading Systems, Inc.

Principal Place of Business:

Samou 2, Germasogeia 4043, Cyprus

Type of Registration

- Automated Trading Platform
- Investment Adviser

Authority

This Certificate of Registration is issued pursuant to the Securities Exchange Act of 1934, as amended, and the Investment Advisers Act of 1940, as amended. FXCC Automated Trading Systems, Inc. is hereby authorized to operate as a registered investment adviser and automated trading platform, subject to the terms and conditions established by the U.S. Securities and Exchange Commission (SEC).

Scope of Authorization

FXCC Automated Trading Systems, Inc. is granted the following permissions under this registration:

- 1. Automated Trading Services:** The registrant is authorized to develop, deploy, and manage proprietary algorithmic trading systems for the execution of securities transactions, including but not limited to foreign exchange (FX), equities, and derivatives, on behalf of clients. All activities must comply with SEC regulations.
- 2. Investment Advisory Services:** The registrant is permitted to provide automated, algorithm-driven investment advice to retail and institutional clients through its proprietary platform, adhering to the fiduciary standards required by law.
- 3. Client Asset Protection:** The registrant is required to maintain segregated accounts for client funds and comply with all custodial and reporting obligations as stipulated under SEC Rule 206(4)-2.

4. Cybersecurity and Data Protection: The registrant must implement robust cybersecurity measures in accordance with SEC Regulation S-P and Regulation S-ID to ensure the protection of client data and the integrity of its platform.

Conditions of Registration

To maintain compliance with SEC regulations, FXCC Automated Trading Systems, Inc. is subject to the following conditions:

- **Compliance Oversight:** The registrant shall undergo periodic examinations by the SEC's Office of Compliance Inspections and Examinations (OCIE).
- **Reporting Requirements:** The registrant must submit annual updates via Form ADV and promptly report any material changes to its operations or financial condition.
- **Risk Disclosure:** The registrant is obligated to provide clear and comprehensive risk disclosures to all clients, detailing potential risks associated with automated trading algorithms, including losses due to market volatility or system errors.
- **Capital Adequacy:** The registrant shall maintain minimum net capital as prescribed under SEC Rule 15c3-1, applicable to broker-dealers utilizing automated systems.
- **Prohibited Activities:** The registrant is strictly prohibited from engaging in unregistered securities offerings, market manipulation, or any activities deemed fraudulent under Section 10(b) of the Securities Exchange Act.



Certifying Officer
Name: Brian Daly
Director, Division of Investment Management

Verification Code
QR-SEC-FT-987654-2020



Notice

This certificate is issued exclusively to FXCC Automated Trading Systems, Inc. and is non-transferable. Any unauthorized use, reproduction, or distribution of this document is strictly prohibited and may result in civil and criminal penalties under federal law.

Contact Information

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